

# Scientific Literature (@ TUM)

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With material from Dr. Florian Deißenböck, and Prof. Dr. Stefan Wagner

# ПΠ

## **Goals and Content**

- 1. Methodology: Searching for literature in a scientific field
- 2. Evaluation: Indicators for quality of scientific papers
- 3. Technical Aspects (@TUM): Getting a paper for a citation

Two parts:

- 1. What are scientific publications?
- 2. Literature search

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# Why literature reviews?

• Part of your job.

#### Goals of literature reviews:

- Understand the state of science
- Identify currently open questions
- Show relevance
- Define commonalities and differences with other work (and explain why)
- Place your work in the area of research
- Give evidence for your assumptions





# **Scientific Publications**

(a tiny introduction)

# What kind of paper/articles/... exist?

# Research type facets [Wieringa2005]

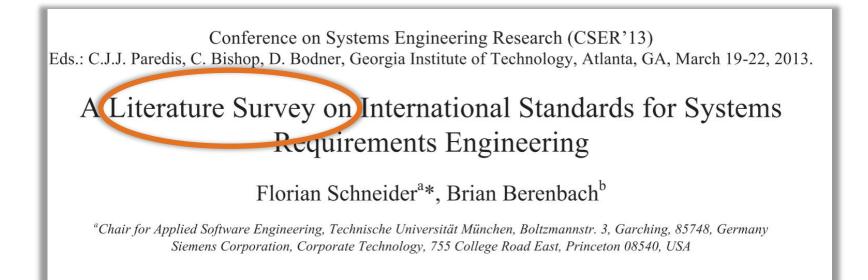
- Exploratory
- Solution
- Experience
- Validation / Evaluation
- Philosophical / Opinion

[Wieringa2005] R. Wieringa, N. Maiden, N. Mead, and C. Rolland, "*Requirements engineering paper classification and evaluation criteria: a proposal and a discussion*", Req. Eng., 2005.

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# What kind of paper/articles/... exist?

Jackpot: Meta publications / Research Surveys



# ТШП

### Which formats?



#### • Book

- Usually single-author
- 100 1000 pages

### • Book chapter

- 20 50 pages
- Journal article/paper
  - 10 30 pages
- Proceedings article/paper (conference)
  - 3 15 pages
- Workshop article/paper
  - 3 15 pages
- Technical reports
- Thesis (Dissertation/Master's Thesis/Bachelor's Thesis)
- Blogs

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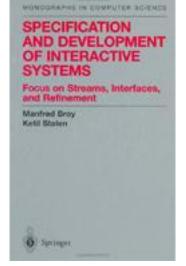
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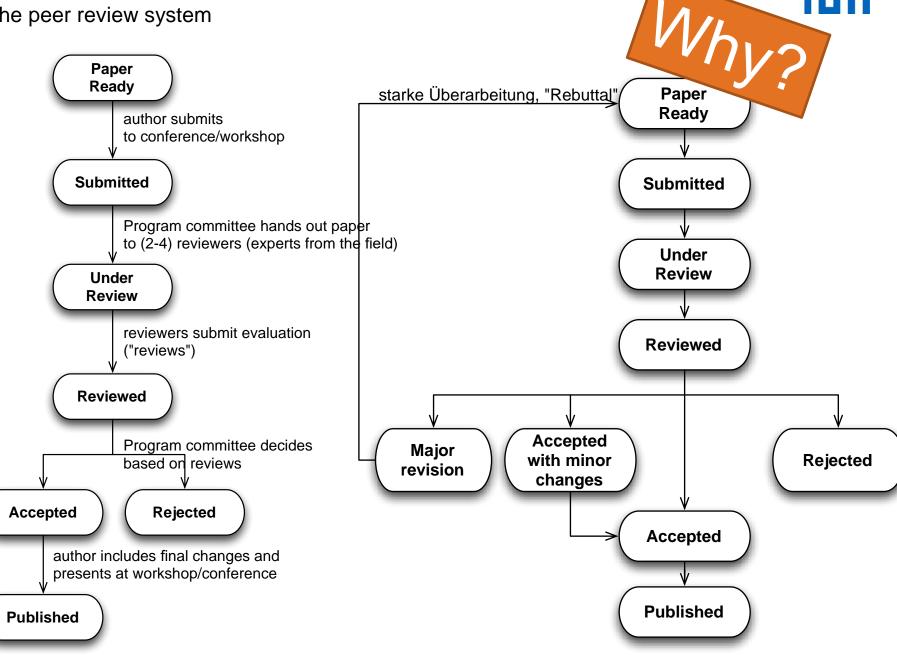
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### How are things published?

The peer review system





# **Publication types and peer reviewing**

### • Book

- Mostly single author, no peer review
- Book chapter
  - Peer review (several round trips)
- Journal article
  - Peer review (several round trips)
- Proceedings article/paper (conference)
  - Peer review
- Workshop article/paper
  - Peer review

### Technical Reports

- No peer review

### • Thesis (Dissertation/Master's Thesis/Bachelor's Thesis)

- ?

- Web pages / blogs
  - No peer review

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• ..



# **Review examples...**

(from a regular phd student...)

## **Example: Reviews for a workshop**

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----- REVIEW 2 ------

PAPER: 4 TITLE: Who is the Advocate? Stakeholders for Sustainability AUTHORS: Birgit Penzenstadler, Henning Femmer and Debra Richardson

----- REVIEW ------

The paper attempts to devise a systematic process for identifying advocates of sustainability. The process leverages on well-established/classical requirements engineering processes for stakeholders identification.

- + The paper is well-written and presented. The examples are useful.
- + The use of the requirements techniques to identifying sustainability stakeholders looks to be plausible.

- Combining/contrasting the outcomes the four techniques look to be an expensive exercise. Furthermore, sustainability analysis may also require the identification of an equally important slice of stakeholders, who are the devils' advocates for sustainability. This could be important for promoting behavioral change, analyzing obstacles for the sustainability agenda, understanding their incentives, quantifying the risks to adoption and working on solutions for promoting acceptance.

- I would also expect more justification of the exercise in terms of long term benefits and influences on various software artifacts. The motivation is bit weak to justify the effort.

REV	/IEW 1		
PAPER: 8 TITLE: Detecting Inco	nsistencies in Wrappers - A Case Study Femmer, Dharmalingam Ganesan, Mika	= Overall opinion = It's a pleasure to read such a self-c	contained paper that answers
REVIEW = Paper overview =	<ul> <li>Detailed feedback =</li> <li>abstract - typo: "instead of to the" -&gt; "instead of to the -&gt; "instead of to</li></ul>	nretty much every questions the re instead of the"	Pers raises while reading. It's ck and provides both detailed ig theory and insightful
The paper addresses in software abstractio underlying implement			easant to read, with s clearly stated ents, assumptions and
The authors propose equivalence of severa and modification of pa neither complete nor	<ul> <li>highlights the important ones to the user." -&gt; at this stage we don't understand how the "important ones" are defined. It is also unclear at this stage that the training set starts empty (all functions unclassified) and is incrementally defined/increased. I would suggest to be more explicit when describing step (1) and (3).</li> <li>p3 - fig 2: the "very light-red background" is very hard to see; I would strongly recommend to find a better way to highlight.</li> </ul>		commented, tail, with very les used, and
The solution proposed learning. The static ar given implementation extractors" driving the differences in return c			f 2 technologies; it grated, and f false positives
produce some false n case study). These w the solution also integ phase. Finally, the ma information of the stat to keep the "best" dat	are identical in both implementations? - p5: "304 pairwise comparisons": can't thing be optimized here? Eg: if f1 and f2 are equivalent and f2 and f3 too, we don't really need		dustrial team, om using the tools hodology is applied 9, with promising
The methodology is tr code composed of 10 revealed 84 issues, o failures. Each type of the industrial team is g	- p7- fig 6: I would suggest to repeat (at OS which side corresponds to (as in "\ on the right")		ne target language is of the methodology ch could it be applied

Exampl	p1 I58 4 dimensions vs. views Btw., why is the technical dimension important? For me it is simply a subset of the economic 'dimension'. Keeping a system sustainable from a technical perspective makes it more profitable from the economic point of view. If it is not 'technically sustainable', it is also not in the economically way.
Reviewer: 1	p1   44
Recommendation	example of green software code are mobile apps Why are they green?
Comments: First some genera Sorry to be hard c not want to demot To me, it looks to categories to class I wound it confusi I would evaluate it might be my fault I. Your aim is to re Mine, too, I consid Therefore, the cor When I think back paper. Then I rem the contribution of	<pre>#It is esse p2 14 conflicts b ?- Are reb ?- Are reb ?- Arent th How do th Software? p2 110 What is th GSW? I think the sentence should be reformulated to avoid misunderstandingsSidebar section</pre>
It should be more If one keeps in mi the paper is easie evaluating the qua It might still be inte distracting/annoyi that the classificat One more genera Is green software I have the feeling	Sec 2 Related Work and its Quality p2 I22 Attention in SW Engineering only recently. - depends on whether SW E is something different than the production of software SW that is now classifiyd as greening (Green by) has been produced since many years in environmental sciences, and there has been environmental informatics for a while. There is the conference series of EnviroInfo (Environmental Informatics) (27th this year). I had a lectures how to design environmental software in 1994. Early (german) names are e.g. R Grützner, B Page, V. Wohlgemuth. At least since 2003 there is a Journal on Environmental Informatics (methodologies, applications, and policy considerations, the needs for environmental systems analysis, the challenges of environmental systems modeling, and the impacts of environmental informatics are discussed etc., btw., IF 3.619, not that I like Impact Factors).

# How good is a scientific publication?

Easier to judge:

- Se with care... Where was it published (venue)? 1.
  - -Peer-reviewed venue
  - -Impact factor of venue
  - -Acceptance rate of venue
- 2 How was it received in literature?
  - Number of citations

52/380(14%) 2009

Top General

SE

Conferences

2013

2012

2011

2010

- Springer Empirical Son

# 009 Journar Wiley Journar Wiley Finitical South 100 34/169(20%) 34+31/191(18%) 38+33/222(17%) **Conferences (Proceedings)**

- International Conference on Software Engineering
- Foundations of Software Engineering (FSE)

<u>ICSE</u>

85/461(18%)

87/408(21%)

62/441(14%)

- <u>]25/144(17%)</u>25/117(21%) International Conference on Automated Software Engineering (ASE)
- OO Programming, Systems, Languages and Applications (OOPSLA)
- International Symposium on Software Testing and Analysis (ISSTA)
- International Conference on Software Maintenance (ICSM)

FSE/ESEC

ASE

SPLASH/

OOPSLA

61/166(37%)

?(28%)

51/251(20%)

34/201(17%)

34/203(17%)

Guess:

ISSTA

32/124(26%)

31/108(29%)

35/121(29%)

24/105(23%)

25/93(27%)

FASE

26/112(23%)

33/134(25%)

29/99(29%)

24/96(25%)

30/124(24%)

Acceptance Rate

ECOOP

http://web.engr.illinois.edu/~taoxie/seconferences.htm



# Hands-on: Literature reviews

# ТШТ

### Where can we find papers?

#### **Publishers:**

- ACM Digital Library
- IEEE Xplore
- Springer Link
- Elsevier
- TUM library

#### **Direct sources**

Authors homepages

#### Meta sources

- scholar.google.com
- Research Gate

#### We use mostly Google Scholar!

- Pro
  - All results in one place
  - Direct meta-information (citations)
  - Sometimes direct link to PDF
  - Author graphs
- Con
  - No quality filter
  - Few search filter options

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MSc. with honours

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#### Address

NEWS

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Phone +49 (89) 289-17080 Fax +49 (89) 289-17307 Room 0.11.064

#### \_

- Are you a master Then this might t
   Publications
- Consider submit <u>RE Conference!</u>

#### Fields of interest

- Requirements Er
- Sustainable Soft
- Empirical Softwa
- Agile Software E

#### Teaching

• SS 14

Teaching /

### 2013 Second International Workshop on Requirements Engineering for Sustainable Systems Detecting Inconsistencies in Wrappers: A Case Study

Henning Femmer

• RE@21: Time to Sustain!

Birgit Penzenstadler, Henning Femmer

Henning Femmer, Dharmalingam Ganesan, Mikael Lindvall, David McComas 2013 35th International Conference on Software Engineering (ICSE'13), Track: Software Eng

Rapid Requirements Checks with Requirements Smells: Two Case Studies

Daniel Méndez Fernández, Jakob Mund, Henning Femmer and Antonio Vetro'

Systematic Mapping Study on Software Engineering for Sustainability (SE4S)

Henning Femmer, Daniel Mendez Fernández, Elmar Juergens, Michael Klose, Ilona Zimmer, 2014 1st International Workshop on Rapid and Continous Software Engineering (RCoSE at I In Quest for Requirements Engineering Oracles: Dependent Variables and Measurement

2014 18th International Conference on Evaluation and Assessment in Software Engineering

Birgit Penzenstadler, Ankita Raturi, Debra Richardson, Coral Calero, Henning Femmer and X

2014 18th International Conference on Evaluation and Assessment in Software Engineering

2013 11th International Doctoral Symposium on Empirical Software Engineering (IDoESE'13

Reviewing Natural Language Requirements with Requirements Smells – A Research Plance Planc

• Who Is the Advocate? Stakeholders for Sustainability

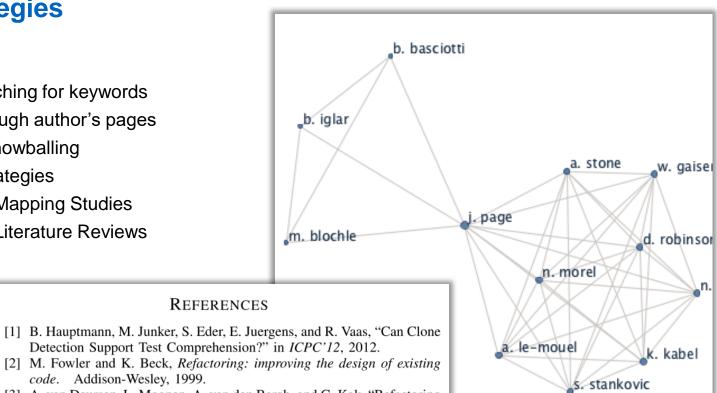
Birgit Penzenstadler, Henning Femmer, Debra Richardson 2013 2nd International Workshop on Green and Sustainable Software (GREENS at ICSE'13)

 A Generic Model for Sustainability with Process- and Product-specific Instances Birgit Penzenstadler, Henning Femmer
 2013 1st Intl. Workshop on Green In Software Engineering, Green By Software Engineering (

### **Search strategies**



- 2. Searching through author's pages
- 3. (Literature-) Snowballing
- Systematic strategies 4.
  - Systematic Mapping Studies
  - Systematic Literature Reviews



[3] A. van Deursen, L. Moonen, A. van den Bergh, and G. Kok, "Refactoring Test Code," in XP'01, 2001.

code. Addison-Wesley, 1999.

- [4] G. Meszaros, S. Smith, and J. Andrea, "The test automation manifesto," in XP'03, 2003.
- [5] G. Meszaros, xUnit Test Patterns: Refactoring Test Code. Addison-Wesley, 2007.
- [6] M. Abbes, F. Khomh, Y.-G. Gue andhe andneuc, and G. Antoniol, "An empirical study of the impact of two antipatterns, blob and spaghetti code, on program comprehension," in CSMR'11, 2011.
- [7] F. Khomh, M. Di Penta, and Y.-G. Gueheneuc, "An exploratory study of the impact of code smells on software change-proneness," in WCRE'09, 2009.
- [8] A. van Deursen and M. Leon, "The video store revisited thoughts on refactoring and testing" in XP'02 2002

## I know a paper. How do I get the pdf?

- Papers are licensed by the publishers (ACM, IEEE, Springer, Elsevier, ...)
- TUM has bought *most* of the licenses

How do you get access?

- Library computers
- <a href="https://EACCESS.ub.tum.de">https://EACCESS.ub.tum.de</a> and search for venue/journal
- VPN + Proxy server

Details can be found here:

https://www.lrz.de/services/netzdienste/proxy/journals-access/

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### **Reference management**

- BibTeX (+LaTeX)
  - Classical, useful format for LaTeX
  - Most sources offer information in bibtex format
  - Use proper types: @article,...

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<pre>eInProceedings(Harrold2 Title Author Booktitle Year Pages Bibsource Crossref Owner Review Roadmap for the future: Timestamp } eBook(Beizer1990, Title Author Publisher Year Address Edition Owner</pre>	<pre>000, = {Testing: a roadmap}, = {Harrold, Mary Jean}, = {ICSE - Future of SE Track}, = {2000}, = {61-72}, = {DBLP, http://dblp.uni-trier.de}, = {DBLP:conf/icse/2000future}, = {hauptmab}, = {"Studies indicate that testing con- = {Undamental Research - Testing Compon = {2014.01.30} = {Software Testing Techniques}, = {Beizer, Boris}, = {Van Nostrand Reinhold Co.}, = {1990}, = {New York, NY, USA}, = {2nd}, = {hauptmab},</pre>	redundancy) in test procedu ore detail:s NODES Now to execute tests.	Beizer 1990 Blackburn 2004 Boehm 1979 Dustin 1999 Fewster 1999 Fewster 1999a Harrold 2000 Hauptmann 2011a Hauptmann 2012 Hauptmann 2012a	Book title: Software test automatic execution tools author: Fewster, Mark and Gra publisher: Addison-Wesley year: 1999 address: New York, NY, USA owner: hauptmab timestamp: 2013.04.24
Review 1.1 1.1. What We Do "Te	<pre>= {citables: testing can consume fif sting consumes at least half of the labo</pre>			
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⊖ <mark>@Other{</mark> Blackburn2004, Title	= {Why model-based test automation i	s different and what you should b		

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### **Reference management**

- BibTeX (+LaTeX)
  - Classical, useful format for LaTeX
  - Most sources offer information in bibtex format
  - Use proper types: @article,...
- JabRef
  - Plattform independant
  - Uses BibTeX as data format
  - Link to pdf
  - Group
- Mendeley
  - Modern, "in the cloud"
  - Cooperative references
  - Notes, highlighting, etc
  - Bibtex export
- Papers (Mac only)





# ПΠ

## **Goals and Content**

- 1. Methodology: Searching for literature in a scientific field
- 2. Evaluation: Indicators for quality of scientific papers
- 3. Technical Aspects (@TUM): Getting a paper for a citation

Two parts:

- 1. What are scientific publications?
- 2. Literature search

# ПΠ

# More details

- B. Kitchenham and S. Charters, "Guidelines for performing Systematic Literature Reviews in Software Engineering," 2007.
- S. Keshav, "How to Read a Paper", 2013 <u>http://blizzard.cs.uwaterloo.ca/keshav/home/Papers/data/07/paper-reading.pdf</u>
- Kent Beck: How to get a paper accepted at OOPSLA: http://plg.uwaterloo.ca/~migod/research/beckOOPSLA.html
- A. Zeller and T. Zimmermann, "Failure is a Four-Letter Word A Parody in Empirical Research"

and the corresponding presentation:

https://www.youtube.com/watch?v=NM3CIIbuVoM

- Zugang zu Wissenschaftlichen Publikationen für Mitarbeiter und Studierende der TUM <u>https://www.lrz.de/services/netzdienste/proxy/zeitschriftenzugang/</u>
- Paper-Verwaltung:

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http://www.mendeley.com http://jabref.sourceforge.net